## **Enzyme Family Classification by Support Vector Machines**

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ABSTRACT One approach for facilitating protein function prediction is to classify proteins into functional families. Recent studies on the classification of G-protein coupled receptors and other proteins suggest that a statistical learning method, Support vector machines (SVM), may be potentially useful for protein classification into functional families. In this work, SVM is applied and tested on the classification of enzymes into functional families defined by the Enzyme Nomenclature Committee of IUBMB. SVM classification system for each family is trained from representative enzymes of that family and seed proteins of Pfam curated protein families. The classification accuracy for enzymes from 46 families and for non-enzymes is in the range of 50.0% to 95.7% and 79.0% to 100% respectively. The corresponding Matthews correlation coefficient is in the range of 54.1% to 96.1%. Moreover, 80.3% of the 8,291 correctly classified enzymes are uniquely classified into a specific enzyme family by using a scoring function, indicating that SVM may have certain level of unique prediction capability. Testing results also suggest that SVM in some cases is capable of classification of distantly related enzymes and homologous enzymes of different functions. Effort is being made to use a more comprehensive set of enzymes as training sets and to incorporate multiclass SVM classification systems to further enhance the unique prediction accuracy. Our results suggest the potential of SVM for enzyme family classification and for facilitating protein function prediction. Our software is accessible at http://jing.cz3.nus. edu.sg/cgi-bin/svmprot.cgi. Proteins 2004;55:66-76. © 2004 Wiley-Liss, Inc.

Key words: classification; enzyme; support vector machine; protein family; protein function; protein function prediction; protein sequence

#### INTRODUCTION

criteria for comparison of distantly-related proteins become increasingly difficult to formulate.<sup>20</sup> Moreover, not all homologous proteins have analogous functions.<sup>10</sup> The presence of shared domain within a group of proteins does not necessarily imply that these proteins perform the same function.<sup>22</sup> Many proteins sharing promiscuous domains are known to have very different functions.<sup>15</sup> These problems have prompted effort and interest in developing new clustering algorithms<sup>21</sup> and exploring novel approaches that combine or complement existing methods.<sup>5,10,20,23</sup>

One approach for facilitating protein function prediction is to classify proteins into functional families. A statistical learning method, support vector machines (SVM),<sup>24</sup> has recently been used for classification of G-protein coupled receptors<sup>25</sup> and DNA-binding proteins<sup>26</sup> from their primary sequences, both families contain proteins of diverse sequence distributions. Moreover, SVM has been used in a number of other protein studies including prediction of protein-protein interaction,  $^{17}$  fold recognition,  $^{27,28}$  study of solvent accessibility  $^{29}$  and structure prediction.  $^{30,31}$  The prediction accuracy derived from these studies ranges from 65% to 91.4%, suggesting the potential of SVM in facilitating the study of various protein classification problems. Because of its ability in classifying proteins of diverse sequences, SVM is expected to be particularly useful for the classification of distantly related proteins and it can thus be used to complement sequence similarity and clustering methods.

Instead of direct comparison or clustering of sequences, SVM classification is based on the analysis of physicochemical properties of a protein derived from its primary sequence.<sup>25–27,29–31</sup> Samples of proteins known to be in a class (positive samples) and those not in the class (negative samples) are used to train a SVM classification system to recognize specific features and classify proteins either into the class or outside the class. Such an approach may be applied to classification of both distantly-related proteins and other proteins into their respective functional families. Proteins of specific functional family share common structural and chemical features essential for performing similar functions.<sup>32</sup> Given sufficient samples of proteins of specific function, SVM may be trained and used to

Determination of protein function is essential for understanding biological processes.<sup>1,2</sup> Computational tools for protein function prediction have been developed<sup>1,3–5</sup> using a variety of methods including sequence similarity,<sup>6–8</sup> evolutionary analysis,<sup>9,10</sup> hidden Markov models,<sup>11</sup> structural consideration,<sup>12,13</sup> protein/gene fusion,<sup>14,15</sup> protein interaction,<sup>16,17</sup> motifs,<sup>18</sup> neural-networks,<sup>11,19</sup> and family classification by sequence clustering.<sup>20,21</sup> In the absence of clear sequence or structural similarities, the

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#### ENZYME FAMILY CLASSIFICATION

TABLE I. List of Enzyme Families Studied in this Work, Statistics of Datasets and Prediction Results<sup>†</sup>

Testing set Independent evaluation set Training set Negative Positive Positive Negative  $Q_p$  $Q_n$ TΡ Enzyme family (EC number) Positive Negative TPFNTNFPFNTNFP (%) (%) C231384 9 89.3 94.0 Oxidoreductases acting on the 383 896 743 452 54 932 60 0.830 CH-OH group of donors (EC 1.1) Oxidoreductases acting on the 25611272333 11561320032972 2386.2 97.7 0.852aldehyde or oxo group of donors (EC 1.2) 170 871 91 1429  $\mathbf{2}$ Oxidoreductases acting on the  $\mathbf{5}$ 7533 985 15 69.4 98.5 0.738 CH-CH group of donors (EC 1.3)  $\mathbf{7}$ Oxidoreductases acting on the 80 459 60 3 1836 44 13 992 10 77.299.0 0.782  $\rm CH\!-\!\!NH_2$  group of donors (EC 1.4)Oxidoreductases acting on the 129 1129 420 1117 3 35 33 983 2151.597.9 0.541CH-NH group of donors (EC 1.5) Oxidoreductases acting on 434 729 3 1516 53142 971 33 92.7 96.7 0.897 776 15 NADH or NADPH (EC 1.6) 1224 0 Oxidoreductases acting on 86 1088 241 36 10 1003 3 78.3 99.7 0.844other nitrogenous compounds as donors (EC 1.7) 1005 Oxidoreductases acting on a 106 734743 1580 $\mathbf{2}$ 5630  $\mathbf{2}$ 65.199.8 0.780 sulfur group of donors (EC 1.8) 122Oxidoreductases acting on a 480 7120 1817 0 400 18 995  $\mathbf{5}$ 95.7 99.5 0.961 heme group of donors (EC 1.9) Oxidoreductases acting on 48 431 230 1879 0 2210 1005 0 68.8 100 0.825 diphenols and related substances as donors (EC 1.10)  $\mathbf{2}$ 7 Oxidoreductases acting on a 89 569 95 0 1740 7314 997 83.9 99.3 0.865 peroxide as acceptor (EC 1.11) Oxidoreductases acting on single donors with incorporation of molecular oxygen 83 721521 15819 46 10 1001 4 82.1 99.6 0.863 (oxygenases) (EC 1.13) Oxidoreductases acting on 201 1146 157 1166 3 127 24993 13 98.7 0.855  $\mathbf{2}$ 84.1 paired donors, with incorporation or reduction of molecular oxygen (EC 1.14) 1196 58 $\mathbf{2}$ 1119 547 1007 0 88.5 0.938 Oxidoreductases acting on 60 1 100 superoxide as acceptor (EC 1.15)Oxidoreductases acting on CH<sub>2</sub> 65 1197 586 11210 46 121006  $\mathbf{2}$ 79.3 99.8 0.865 groups (EC 1.17) Oxidoreductases acting on iron-64 814 47 1 15010 41 11 1006 0 78.8100 0.883sulfur proteins as donors (EC 1.18) Transferases transferring one-486 1184 330 0 1103 1 28776 920 7479.1 92.6 0.717 carbon groups (EC 2.1) Transferases transferring aldehyde or ketone residues (EC 2.2)

Acyltransferases (EC 2.3)

Glycosyltransferases (EC 2.4)

302

427

1001

1180

246

264

0

2

1284

1110

196

245

4

 $\mathbf{5}$ 

44

58

966

933

27

64

81.7

80.9

97.3

93.6

0.812

0.739

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TABLE I. (Continued)

				Test	ing set		Independent evaluation set						
	Trair	ning set	Posi	itive	Nega	tive	Posi	tive	Nega	ative	0	0	
Enzyme family (EC number)	Positive	Negative	TP	FN	TN	FP	TP	FN	TN	FP	$\begin{pmatrix} \boldsymbol{\varphi}_p \\ (\%) \end{pmatrix}$	$\begin{pmatrix} \boldsymbol{Q}_n \\ (\%) \end{pmatrix}$	C
Transferases transferring alkyl or aryl groups, other than methyl groups (EC 2.5)	320	1024	225	0	1284	1	197	53	964	39	78.8	96.1	0.766
Transferases transferring nitrogenous groups (EC 2.6)	132	1109	79	2	1206	1	71	19	995	12	78.9	98.8	0.806
Transferases transferring phosphorus-containing groups (EC 2.7)	1133	1334	1024	2	581	4	1217	195	759	202	86.2	79.0	0.652
Transferases transferring sulfur-containing groups (EC 2.8)	60	541	22	1	1772	1	19	14	1003	2	57.6	99.8	0.715
Hydrolases acting on ester bonds (EC 3.1)	760	1295	453	5	966	13	381	155	892	93	71.1	90.6	0.636
Glycosylases (EC 3.2)	337	867	379	$^{2}$	1397	13	268	49	939	51	84.5	94.8	0.792
Hydrolases acting on ether bonds (EC 3.3)	54	843	29	0	1474	1	35	5	1008	0	87.5	100	0.933
Hydrolases acting on peptide bonds (peptidases) (EC 3.4)	436	1188	240	4	1112	3	217	59	959	43	78.6	95.7	0.760
Hydrolases acting on carbon- nitrogen bonds, other than peptide bonds (EC 3.5)	414	1145	181	3	1137	2	199	73	931	60	73.2	93.9	0.683
Hydrolases acting on acid anhydrides (EC 3.6)	693	1089	770	2	1196	2	646	75	951	42	89.6	95.8	0.860
Carbon-carbon lyases (EC 4.1)	546	1145	776	5	1113	17	547	62	881	105	89.8	89.4	0.782
Carbon-oxygen lyases (EC 4.2)	505	1231	382	1	1047	<b>2</b>	324	79	915	77	80.4	92.2	0.727
Carbon-nitrogen lyases (EC 4.3)	96	803	86	2	1514	0	67	12	999	9	84.8	99.1	0.854
Carbon-sulfur lyases (EC 4.4)	40	1194	18	11	1118	0	15	15	1004	1	50.0	99.9	0.679
Phosphorus-oxygen lyases (EC 4.6)	63	989	26	0	1319	1	23	21	1002	2	52.3	99.8	0.684
Racemases and epimerases (EC 5.1)	144	830	72	0	1464	8	65	29	981	19	69.1	98.1	0.708
Cis-trans-isomerases (EC 5.2)	78	673	24	0	1643	0	32	17	1005	2	65.3	99.8	0.776
Intramolecular oxidoreductases (EC 5.3)	230	950	174	2	1355	9	159	21	982	25	88.3	97.5	0.851
Intramolecular transferases (EC 5.4)	144	1172	55	2	1132	7	65	26	997	7	71.4	99.3	0.788
Intramolecular lysases (EC 5.5)	22	1196	14	4	1121	0	14	2	1006	1	87.5	99.9	0.902
Other isomerases (EC 5.99)	68	705	73	0	1597	7	58	8	994	9	87.9	99.1	0.864
Ligases forming carbon-oxygen bonds (EC 6.1)	281	1115	381	1	1185	13	286	29	980	27	90.8	97.3	0.883
Ligases forming carbon-sulfur bonds (EC 6.2)	81	947	71	0	1362	2	53	18	1001	3	74.6	99.7	0.831
Ligases forming carbon- nitrogen bonds (EC 6.3)	381	1133	358	2	1148	3	294	57	946	45	83.8	95.5	0.801
Ligases forming carbon-carbon bonds (EC 6.4)	48	963	26	0	1347	1	29	4	1003	1	87.9	99.9	0.919
Ligases forming phosphoric ester bonds (EC 6.5)	30	1198	16	10	1095	0	18	8	979	3	69.2	99.7	0.765

<sup>†</sup>The results are given in TP (true positive), FN (false negative), TN (true negative), FP (false positive),  $Q_p$  and  $Q_n$  (Unique accuracy for prediction of positive and negative samples), C (Matthews correlation coefficient). Number of positive or negative samples in testing and independent evaluation sets is TP + FN or TN + FP respectively. Updated results are given at http://jing.cz3.nus.edu.sg/cgi-bin/svmprot.cgi.

recognize proteins possessing characteristics of a particular function.  $^{17,\ 25,\ 26}$ 

In this work, the usefulness of SVM for classification of proteins into functional families is tested on enzymes from 46 enzyme families. Enzymes represent the largest and most diverse group of all proteins, catalyzing chemical reactions in the metabolism of all organisms. Enzymes are well classified into functional families according to the recommendation by the classification of enzyme nomenclature committee of IUBMB.<sup>33</sup> Therefore enzymes are ideal for comprehensive testing of the capability of SVM classification systems. SVM is also evaluated for its capability in

the classification of distantly related enzymes and homologous enzymes of different function.

#### **METHODS**

Enzyme families are obtained from BRENDA database.<sup>32</sup> There are 46 enzyme families found to have substantial number of enzymes in Swiss-Prot database.<sup>34</sup> Sufficient number of samples is needed to train a SVM classification system for accurate classification, thus only these 46 families are studied in this work. Table I gives the list of enzyme families together with the number of enzymes in each family used for training, testing, and evaluating SVM classification system for that family.

All distinct members in each enzyme family found in Swiss-Prot database<sup>34</sup> are used to construct positive samples for training SVM. The negative samples corresponding to each enzyme family are selected from seed proteins of the curated protein families in the Pfam database.<sup>35</sup> Those seed proteins known to not belong to the enzyme family under study are used as negative samples for that family. Negative samples of each family include representative enzymes in all the other enzyme families and non-enzyme proteins such as receptors, transporters, channels, and other non-enzyme proteins. An example of the composition of negative samples in an enzyme family EC2.7 is given in Table II. There are cases such that particular proteins can be positive for more than one family and these are only included in the respective positive training set and excluded in the negative training set. Also, the EC number of some enzymes may not be specified at the time of our data collection, some of which may be tentatively included in the negative training set.

In most cases, there are multiple entries in the Swiss-Prot database for each distinct protein in each enzyme family. Thus, after the selection of the training set for a family, there is a sufficient number of entries left in Swiss-Prot database for construction of separate sets of both positive and negative samples for that family. This allows one to optimize and test the SVM training system for each family by using separate testing sets and to evaluate the prediction results by using independent evaluation sets of both positive and negative samples. While possible, all the remaining distinct enzymes in each family (not in its training set) are used as positive samples and all the remaining representative seed proteins in Pfam curated families are used to construct negative samples in a testing set and an independent evaluation set. For proteins that belong to more than one families, they are only included in the positive training, testing, and independent evaluation set of a particular family under study. No duplicate enzyme is used in the training, testing, and independent evaluation set for each family.

Training sets of both positive and negative samples can be optimized by exchanging the incorrectly classified samples in the corresponding testing sets with non-supportvector samples in the training sets so that all the essential proteins that optimally represent each family are retained in the training sets. These essential proteins carry distinct structural and physicochemical features important to char-

FABLE II.	<b>Composition of the Negative Samples</b>
	for EC2.7 Famil $\mathbf{v}^{\dagger}$

Family	Number of entries
EC 1.1	10
EC 1.2	3
EC 1.3	17
EC 1.4	6
EC 1.5	2
EC 1.6	7
EC 1.7	2
EC 1.8	1
EC 1.9	24
EC 1.10	8
EC 1.11	4
EC 1.13	4
EC 1.14	1
EC 1.15	3
EC 1.18	2
EC 2.1	11
EC 2.3	20
EC 2.4	20
EC 2.5	4
EC 3.1	30
EC 3.2	33
EC 3.3	2
EC 3.4	12
EC 3.5	9
EC 3.6	33
EC 4.1	28
EC 4.2	18
EC 4.4	7
EC 4.6	5
EC 5.1	7
EC 5.4	3
EC 5.5	1
EC 5.99	9
EC 6.1	1
EC 6.2	1
EC 6.3	20
EC 6.4	6
EC 6.5	9
Receptors	17
Transporters	53
Channels	11
Other proteins	1455

<sup>†</sup>Here "other proteins" include proteins know to not belong to any of the families listed and those enzymes whose EC number is not specified at the time of our data-collection.

acterize the members of each family and those outside the family. The support vectors of the positive and negative samples for that family are generated from these proteins.

Prediction accuracies of statistical learning methods are typically evaluated by methods such as n-fold cross validation.<sup>27</sup> Our SVM system is trained by using optimized training sets which include all the essential proteins in a family. In an n-fold cross validation study, it is difficult to keep all these essential proteins within a training set. Thus in this work, evaluation of prediction accuracy is conducted by using independent evaluation sets. As will be presented in the results and discussion section of this paper, the derived prediction accuracies from our method

Sequence	ΑΕΑ	AAEA	EEAAA	<b>A A E A E E E</b>	ΑΑΕΕΑ	EEEAAE
Sequence index	1	5	10	15 2	20	25 30
Index for A	12	345	678	9 10 11	1213 1	.4 15 16
Index for E	1	2 3	34	5 6 7 8	910	11 12 13 14
A/E transitions	11	111	I	111 1	11	1 1 1

Fig. 1. Hypothetical sequence for illustration of derivation of the feature vector of a protein.

is similar to those derived from 10-fold cross validation study.

Every enzyme sequence is represented by specific feature vectors assembled from encoded representations of tabulated residue properties including amino acid composition, hydrophobicity, normalized van der Waals volume, polarity, polarizability, charge, surface tension, secondary structure, and solvent accessibility for each residue in the sequence.<sup>17,25–27,29–31</sup> There is some level of overlap in the descriptors for hydrophobicity, polarity, and surface tension, which may be reduced by principle component analysis (PCA). Our own study suggests that the use of the PCA-reduced descriptors only moderately improves the accuracy for some of the families. It is thus unclear to which extent this overlap affects the accuracy of SVM classification. It is noted that reasonably accurate results have been obtained in various protein classification studies using these overlapping descriptors.<sup>17,25–27,29–31</sup>

Three descriptors, composition (C), transition (T), and distribution (D), are used to describe global composition of each of the properties described above.<sup>36,37</sup> C is the number of amino acids of a particular property (such as hydrophobicity) divided by the total number of amino acids. T characterizes the percent frequency with which amino acids of a particular property is followed by amino acids of a different property. D measures the chain length within which the first, 25%, 50%, 75% and 100% of the amino acids of a particular property is located respectively.

A hypothetical protein sequence AEAAAEAEEAAAAAE-AEEEAAEEAEEEAAE, as shown in Figure 1, has 16 alanines (n1 = 16) and 14 glutamic acids (n2 = 14). The composition for these two amino acids are  $n1 \times 100.00/$ (n1 + n2) = 53.33 and  $n2 \times 100.00/(n1 + n2) = 46.67$ respectively. There are 15 transitions from A to E or from E to A in this sequence and the percent frequency of these transitions is  $(15/29) \times 100.00 = 51.72$ . The first, 25%, 50%, 75%, and 100% of As are located within the first 1, 5, 12, 20, and 29 residues respectively. The D descriptor for As is thus  $1/30 \times 100.00 = 3.33$ ,  $5/30 \times 100.00 = 16.67$ ,  $12/30 \times 100.00 = 40.0, 20/30 \times 100.00 = 66.67, 29/30 \times$ 100.00 = 96.67. Likewise, the D descriptor for Es is 6.67, 26.67, 60.0, 76.67, 100.0. Overall, the amino acid composition descriptors for this sequence are C = (53.33, 46.67), T = (51.72), and D = (3.33, 16.67, 40.0, 66.67, 96.67, 6.67, 26.67, 60.0, 76.67, 100.0) respectively.

Descriptors for other properties can be computed by a similar procedure, and all the descriptors are combined to form the feature vector of a protein. In most studies, amino acids are divided into three classes for each property and thus the three descriptors for each property consist of 21 elements: 3 for C, 3 for T, and 15 for  $D.^{17,25-27,29,30,36,37}$ 

The constructed feature vectors of both positive samples (examples of enzymes in a particular family) and negative samples (those do not belong to a particular family) are then input into SVM classification system to train it to identify features that separate positive and negative samples. The trained SVM systems can thus be used to classify an enzyme into either the positive group or the negative group of each family. This enzyme is predicted to be a member of a family if it is classified into the positive group of that family. Likewise, it is predicted to not belong to a family if it is classified into the negative group of that family. The theory of SVM has been described in the literature.  $^{17,24-27,29-31}$  Thus only a brief description is given here. SVM is based on the structural risk minimization (SRM) principle from statistical learning theory.<sup>24</sup> In linearly separable cases, SVM constructs a hyperplane which separates two different groups of feature vectors with a maximum margin. A feature vector is represented by  $\mathbf{x}_i$ , with physicochemical descriptors of a protein as its components. The hyperplane is constructed by finding another vector **w** and a parameter b that minimizes  $\|\mathbf{w}\|^2$ and satisfies the following conditions:

$$\mathbf{w} \cdot \mathbf{x}_i + b \ge +1, \text{ for } y_i = +1 \text{ Group 1 (positive)}$$
(1)

$$\mathbf{w} \cdot \mathbf{x}_i + b \le -1$$
, for  $y_i = -1$  Group 2 (negative)  
(2)

where  $y_i$  is the group index, **w** is a vector normal to the hyperplane,  $|b|/||\mathbf{w}||$  is the perpendicular distance from the hyperplane to the origin and  $||\mathbf{w}||^2$  is the Euclidean norm of **w**. After the determination of **w** and *b*, a given vector  $\mathbf{x}_i$  can be classified by:

$$sign[(\mathbf{w} \cdot \mathbf{x}) + b] \tag{3}$$

In nonlinearly separable cases, SVM maps the input variable into a high dimensional feature space using a kernel function  $K(\mathbf{x}_i, \mathbf{x}_j)$ . An example of a kernel function is the Gaussian kernel which has been extensively used in different studies:<sup>17,24–27,29–31</sup>

$$K(\mathbf{x}_{i},\mathbf{x}_{i}) = e^{-\|\mathbf{x}_{i} - \mathbf{x}_{i}\|^{2}/2\sigma^{2}}$$

$$\tag{4}$$

Based on earlier study<sup>27,38</sup> and our own analysis, Gaussian kernel function seems to produce better results than other kernel functions. Linear support vector machine is applied to this feature space and then the decision function is given by:

$$f(\mathbf{x}) = sign\left(\sum_{i=1}^{l} \alpha_i^0 y_i K(\mathbf{x}, \mathbf{x}_i) + b\right)$$
(5)

where the coefficients  $\alpha_i^o$  and b are determined by maximizing the following Langrangian expression:

$$\sum_{i=1}^{l} \alpha_i - \frac{1}{2} \sum_{i=1}^{l} \sum_{j=1}^{l} \alpha_i \alpha_j y_i y_j K(\mathbf{x}_i, \mathbf{x}_j)$$
(6)

under conditions:

$$\alpha_i \ge 0 \quad \text{and} \quad \sum_{i=1}^{l} \alpha_i y_i = 0$$
(7)

A positive or negative value from Eq. (3) or Eq. (5) indicates that the vector **x** belongs to the positive or negative group respectively. To further reduce the complexity of parameter selection, hard margin SVM with threshold instead of soft margin SVM<sup>39</sup> with threshold is used. We have developed our own SVM program SVM<sup>\*26</sup> using the sequential minimal optimization (SMO) algorithm,<sup>40</sup> RBF kernel and parameters  $C\rightarrow\infty$  (for hard margin) and  $\sigma$  value of 5–35 for different enzyme families. RBF kernel is used because it has been commonly used in other SVM protein studies with consistently better performance than other kernels such as linear and polynomial.<sup>27,38</sup> Our own analysis on enzyme family classification suggests that the prediction accuracy using RBF kernel is at least 5% more than that using polynomial kernel.

As in the case of all discriminative methods,<sup>24,41</sup> the performance of SVM classification can be measured by the quantity of true positives (TP), true negatives (TN), false positives (FP), and false negatives (FN). Because the number of positive and negative samples for each family is imbalanced, two accuracies  $Q_p$  and  $Q_n$  are introduced to measure the accuracy of positive prediction (proteins belong to an enzyme family) and negative prediction (proteins do not belong to an enzyme family):

$$Q_{p} = \frac{TP}{TP + FN}$$

$$Q_{n} = \frac{TN}{TN + FP}$$
(8)

Another quantity suitable for evaluating the classification accuracy of imbalanced positive and negative samples is the Matthews correlation coefficient C,<sup>42</sup> which is given by:

$$C = \frac{TP \cdot TN - FN \cdot FP}{\sqrt{(TP + FN)(TP + FP)(TN + FN)(TN + FP)}}$$
(9)

## RESULTS AND DISCUSSION Assessment of Overall Accuracy of SVM Enzyme Family Classification

The results for the classification of the 46 enzyme families are given in Table I. All the computed TP, TN, FP, and FN for the testing sets and independent evaluation sets of these families are given in the Table. Table I also gives the classification accuracies  $Q_p$  and  $Q_n$  and Matthews correlation coefficient C for every family measured by using independent evaluation sets. The computed  $Q_p$ ,  $Q_n$  and C for the 46 enzyme families are in the range of 50.0% to 95.7%, 79.0% to 100%, and 54.1% to 96.1%

respectively. These numbers on average are slightly improved from that obtained in other SVM studies of proteins.<sup>17,24–27,29–31</sup> One possible reason for this improvement is the use of representative proteins of Pfam curated families as negative samples for SVM classification, which provides a more comprehensive sampling of proteins not belonging to an enzyme family.

Table III gives a list of a number of randomly selected enzyme entries from Swiss-Prot database<sup>34</sup> that are not correctly classified into the corresponding family by SVM<sup>\*</sup>. Amino acid sequence of each of these enzyme entries is examined to determine whether or not the classification error is caused by sequence-related problems such as fragment, incomplete chain, and mutations. As shown in Table II, these sequence-related problems do not appear to be a significant factor for the classification error.

BLAST sequence alignment of each of these enzymes against other members of its family suggests that a substantial portion (61.3%) of these incorrectly classified enzymes are of low sequence similarity to most of the other members in its family, i.e., the sequence similarity score E value of each of these enzymes against most members of its family is significantly higher than 0.05. The percentage of low sequence similarity proteins in a family is not expected to be very high. Therefore, our study seems to suggest that sequence distance has certain level of influence on the accuracy of SVM classification.

Several other factors may also affect the classification accuracy. One is the sequence diversity of protein samples in a functional family. It is likely that not all possible types of proteins are adequately represented in some functional classes. This can be improved along with the availability of more protein data. SVM prediction may be further improved by using a more comprehensive and refined set of protein descriptors. SVM optimization procedure and feature vector selection algorithm may also be improved by adding additional constraints, and by incorporating independent component analysis and kernel PCA in the preprocessing steps.

The quality of our SVM classification system of a particular enzyme family can be further assessed by means of direct two-way tests. For such a purpose, a set of 3000 enzymes in a randomly selected enzyme family EC1.6 is used for testing the accuracy of positive classification for that family. It is found that 76.8% of these enzymes are correctly classified into the EC1.6 family by our SVM system. A set of 2850 randomly selected non-enzyme proteins is used for assessing the accuracy of negative classification for that enzyme family. It is found that 98.5% of these non-enzyme proteins are correctly classified as not belonging to the EC1.6 family.

### Comparison Between Results From our Evaluation Method and Those of 10-Fold Cross Validation

In this work, independent evaluation sets are used to determine the accuracy of enzyme family classification. To examine whether it can provide sufficiently accurate assessment of prediction accuracy, the results from three randomly selected families using our evaluation

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EC Family	Swiss Prot	· · ·		Sequence similarity to
number	AC number	Protein name	Sequence feature	other members of family
EC 1.1	Q8YH79	Alcohol dehydrogenase	С	L
EC 1.14	P79078	Delta-9 fatty acid desaturase	С	S
EC 1.14	Q8TE42	Truncated steroid 21-hydroxylase	IC	$\mathbf{L}$
EC 1.14	P14791	Heme oxygenase	С	$\mathbf{L}$
EC 1.2	O67724	N-acetyl-y-glutamyl-phosphate reductase	С	$\mathbf{L}$
EC 1.2	Q57658	Aspartate-semialdehyde dehydrogenase	С	$\mathbf{L}$
EC 2.1	Q9ZE37	tRNA (Guanine-N(1)-)-methyltransferase	С	S
EC 2.1	Q9PJ28	Methionyl-tRNA formyltransferase	С	S
EC 2.1	Q9UX08	Aspartate carbamoyltransferase	С	$\mathbf{L}$
EC 2.1	P96111	PyrBI protein	С	$\mathbf{L}$
EC 2.7	Q9JR61	Phosphatidylserine synthase	С	$\mathbf{L}$
EC 2.7	Q9ZE96	Phosphatidylglycerophosphate synthase	С	L
EC 3.1	Q62087	Serum paraoxonase/arylesterase 3	С	$\mathbf{L}$
EC 3.1	Q97VT7	Aryldialkylphosphatase, putative	С	S
EC 3.2	Q9EVP3	Stx2fA protein subunit	C, subunit	L
EC 3.2	Q9S9E4	rRNA-glycosidase	C	$\mathbf{L}$
EC 3.2	Q41216	Trichosanthin	С	$\mathbf{L}$
EC 3.5	P32320	Cytidine deaminase	C, subunit	$\mathbf{L}$
EC 3.5	Q01432	AMP deaminase 3	C, subunit	$\mathbf{L}$
EC 3.5	Q49135	Methenyltetrahydrofolate cyclohydrolase	C, subunit	S
EC 4.2	P73715	Endonuclease III	С	S
EC 4.2	Q8RI68	Cystathionine gamma-synthase	С	S
EC 4.3	Q8XMJ8	Argininosuccinate lyase	С	S
EC 5.1	Q980W1	UDP-glucose 4-epimerase	С	S
EC 5.1	P21955	Aldose 1-epimerase	С	$\mathbf{L}$
EC 5.3	P29954	Mannose-6-phosphate isomerase	С	S
EC 5.4	Q8Z8D7	UDP-galactopyranose mutase	С	S
EC 6.1	Q8YH72	Alanyl-tRNA synthetase	С	$\mathbf{L}$
EC 6.1	Q9ZDF8	Lysyl-tRNA synthetase	С	$\mathbf{L}$
EC 6.1	Q9HJM5	Glutamyl-tRNA synthetase	С	L
EC 6.1	Q55486	Arginyl-tRNA synthetase	С	$\mathbf{L}$
EC 6.3	P57245	Carbamoyl-phosphate synthase, small chain	C, chain	S

# TABLE III. Randomly Selected Enzyme Entries From Swiss-Prot Database Which are Not Correctly Classified Into the Corresponding Family by SVM\*<sup>†</sup>

<sup>†</sup>C—Complete sequence; IC—Incomplete sequence; C, subunit—Complete sequence of subunit; C, chain—Complete sequence of chain; L—Low sequence similarity to other enzymes in a particular family; S—Significant sequence similarity to other enzymes in a particular family.

			101055 1	Testir	or set.	01 1101.			
	Train	ing set	Posi	itive	Nega	ative	Evaluation		
Fold number	Positive	Negative	TP	$\overline{FN}$	$\overline{TN}$	FP	$\overline{Q_p(\%)}$	$Q_n(\%)$	С
1	1127	2967	119	6	327	3	95.2	99.1	0.950
2	1127	2967	119	6	328	2	95.2	99.4	0.955
3	1126	2968	119	7	325	4	94.4	98.7	0.939
4	1127	2967	116	9	330	0	92.8	100	0.950
5	1127	2967	122	3	327	3	97.6	99.1	0.967
6	1127	2967	115	10	330	0	92.0	100	0.945
7	1126	2968	121	5	328	1	96.0	99.7	0.967
8	1126	2968	117	9	326	3	92.8	99.1	0.933
9	1127	2967	113	12	327	3	90.4	99.1	0.916
10	1127	2967	120	5	326	4	96.0	98.7	0.950
Average							94.2	99.3	0.947
Our method							95.7	99.5	0.961

FABLE IV.	. Ten-fold Cross	Validation	Results o	of EC1.9 H	<sup>r</sup> amily <sup>†</sup>

 $^{\dagger}\text{The result}$  from our method is included for comparison.

method are compared with those from a 10-fold cross validation study. Table IV, Table V, and Table VI give the results of the 10-fold cross validation study for the

EC1.9, EC4.4, and EC5.2 family respectively. For comparison, the results from our study are also included in the respective Table. It is found that the computed  $Q_p$ ,

#### ENZYME FAMILY CLASSIFICATION

				Testi	ng set				
Fold number	Training set		Positive		Negative		Evaluation		
	Positive	Negative	TP	FN	TN	FP	$Q_p(\%)$	$Q_n(\%)$	C
1	89	2985	5	5	332	0	50.0	100	0.701
2	90	2894	7	2	333	0	77.7	100	0.879
3	89	2985	6	4	332	0	60.0	100	0.769
4	89	2985	6	4	331	1	60.0	99.6	0.710
5	89	2985	6	4	332	0	60.0	100	0.769
6	89	2985	5	<b>5</b>	332	0	50.0	100	0.701
7	89	2986	8	2	331	0	80.0	100	0.891
8	89	2986	5	<b>5</b>	331	0	50.0	100	0.701
9	89	2986	8	2	331	0	80.0	100	0.891
10	89	2986	9	1	330	1	90.0	99.6	0.897
Average							65.7	99.9	0.791
Our method							50.0	99.9	0.679

#### TABLE V. Ten-fold Cross Validation Results of EC4.4 Family<sup>†</sup>

<sup>†</sup>The result from our method is included for comparison.

TABLE VI. Ten-fold Cross	Validation Result	s of EC5.2 Family
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			Testi	ng set					
Fold number	Training set		Positive		Negative		Evaluation		
	Positive	Negative	TP	FN	TN	FP	$Q_p\left(\% ight)$	$Q_n(\%)$	C
1	136	2990	11	4	333	0	73.3	100	0.851
2	136	2990	12	3	333	0	80.0	100	0.890
3	137	2989	9	5	334	0	64.2	100	0.795
4	137	2989	9	5	334	0	64.2	100	0.795
5	137	2990	8	6	333	0	57.1	100	0.749
6	136	2991	7	8	332	0	46.7	100	0.675
7	134	2993	11	6	330	0	64.7	100	0.797
8	134	2993	12	5	330	0	70.5	100	0.833
9	136	2991	10	5	331	1	66.7	99.7	0.770
10	136	2991	12	3	331	1	80.0	99.7	0.853
Average							66.7	99.9	0.800
Our method							65.3	99.8	0.776

<sup>†</sup>The result from our method is included for comparison.

 $Q_n$ , and *C* for each of these families using our method is roughly similar to those obtained by using 10-fold cross validation study. This suggests that our method may be used to assess the quality of SVM enzyme family classification, with a similar level of accuracy as that of n-fold cross validation study.

#### **Classification of Distantly Related Enzymes**

Certain proteins with very low sequence similarity to each other are known to have similar function.<sup>20,43–45</sup> The low sequence similarity nature of these distantly related proteins makes it difficult to use conventional sequence alignment and clustering methods. It has thus prompted the introduction of novel approaches for functional prediction of distantly related proteins. These include neural network analysis of conserved motifs,<sup>43</sup> energy analysis,<sup>46</sup> structure-dependent sequence alignment,<sup>47</sup> and sequence clustering-based family classification using pre-computed sequence similarity information.<sup>21</sup>

In this work 24 randomly selected distantly related enzymes in seven different families, shown in Table VII, are used to test the capability of SVM classification of distantly related enzymes. These include two aminotrasferases from EC2.6 family,<sup>48</sup> three kinases from EC2.7 family,<sup>44,49</sup> eight glycosyl hydrolases from EC3.2 family,<sup>45</sup> three proteases from EC3.4 family,<sup>50–52</sup> and eight enzymes from EC2.1, 3.5 and 6.1 families. Sequence similarity score E value for each of these enzymes from BLAST search against most members of its family is significantly higher than 0.05, the commonly accepted threshold for similarity proteins. Fourteen (14) enzymes are correctly classified, which accounts for 58.3% of all distantly related enzymes studied. This suggests that, to a certain extent, SVM can be used for classification of distantly related enzymes.

The ability of SVM in classification of some distantly related enzymes likely results from the use of a combination of physicochemical properties to represent an enzyme. In some cases, enzyme function is determined by specific structural and chemical features at substrate binding sites, and these features are shared by distantly related as well as other enzymes of the same family.<sup>32</sup>

TABLE VII. Assessment of SVM\* Classification of Distantly Related Enzymes

	Swiss-Prot		Correctly classified
Classification of distantly related enzymes	AC number	Family	by SVM
PyrBlprotein (EC 2.1.3.2)	P96111	EC 2.1	No
Alanine aminotransferase (EC 2.6.1.2)	P24298	EC 2.6	Yes
Histidinol-phosphate aminotransferase 2 (EC 2.6.1.9)	Q8Y0Y8	EC 2.6	Yes
Casein kinase I homolog cki1 (EC 2.7.1)	P40233	EC 2.7	No
MUK (EC 2.7.1.37)	Q63796	EC 2.7	Yes
PRP4 kinase (EC 2.7.1.37)	Q13523	EC 2.7	No
6-phospho-β-glucosidase (EC 3.2.1.86)	Q46130	EC 3.2	Yes
β-galactosidase I (EC 3.2.1.23)	P19668	EC 3.2	Yes
β-mannanase/endoglucanase A precursor (EC 3.2.1.78)	P22533	EC 3.2	Yes
Cellulose-growth-specific protein precursor (EC 3.2.1.4)	Q00023	EC 3.2	Yes
Chitinase 1 precursor (EC 3.2.1.14)	P46876	EC 3.2	No
Endo-1, 4-β-xylanase C precursor (EC 3.2.1.8)	P26220	EC 3.2	Yes
Endoglucanase A precursor (EC 3.2.1.4)	P29719	EC 3.2	Yes
Mannosyl-oligosaccharide glucosidase (EC 3.2.1.106)	Q13724	EC 3.2	Yes
Botulinum neurotoxin type A Precursor (EC 3.4.24.69)	P10845	EC 3.4	No
Methionine aminopeptidase (EC 3.4.11.18)	O58362	EC 3.4	Yes
Xaa-Pro aminopeptidase 2 [Precursor](EC 3.4.11.9)	O43895	EC 3.4	Yes
Allantoinase (EC 3.5.2.5)	P40757	EC 3.5	No
Dihydropyrimidinase (EC 3.5.2.2)	Q14117	EC 3.5	Yes
Urea amidohydrolase (EC 3.5.1.5)	P94669	EC 3.5	Yes
Alanyl-tRNA synthetase (EC 6.1.1.7)	Q8YH72	EC 6.1	No
Lysyl-tRNA synthetase (EC 6.1.1.6)	Q9ZDF8	EC 6.1	No
Arginyl-tRNA synthetase (EC 6.1.1.19)	Q55486	EC 6.1	No
Glutamyl-tRNA synthetase (EC 6.1.1.17)	Q9HJM5	EC 6.1	No

#### TABLE VIII. Assessment of SVM\* Classification of Homologous Enzymes of Different Functions

Enzyme 1(E1)	Family 1 (F1)	Enzyme 2(E2)	Family 2 (F2)	Similarity Score E-Value	Classification
Glycolate oxidase(P05414)	1.1	IPP isomerase(Q8PW37)	5.3	3.00E-07	$E1 \rightarrow F1; E2 \rightarrow F2$
Creatinase(P38488)	3.5	Xaa-Pro dipeptidase(O58885)	3.4	3.00E-15	$E1 \rightarrow F1; E2 \rightarrow F1, F2$
Cystathionine gamma-synthase(P38675)	4.2	Methionine gamma-lyase(P13254)	4.4	2.00E-15	$E1 \rightarrow F1; E2 \rightarrow F1, F2$
Cystathionine gamma-synthase(P38676)	4.2	Cystathionine gamma-lyase(Q8VCN5)	4.4	1.00E-12	$E1 \rightarrow F1; E2 \rightarrow F1, F2$

 $E1 \rightarrow F1$  indicates classification of enzyme E1 into family F1.

 $E2 \rightarrow F1$ , F2 indicates classification of enzyme E2 into both family F1 and family F2.

Some of these function-related features might be captured by the residue properties such as hydrophobicity, normalized van der Waals volume, polarity, polarizability, charge, surface tension,  $^{53,54}$  secondary structure and solvent accessibility which are used in the construction of the SVM\* feature vectors for the enzymes. It is thus expected that, upon proper training with sufficiently diverse set of enzymes, SVM\* may be potentially used for the classification of certain types of distantly related enzymes that share common structural and chemical features.

Not all distantly related proteins of the same function have similar structural and chemical features. There are cases in which different functional groups, un-conserved with respect to position in the primary sequence, mediate the same mechanistic role, due to the flexibility at the active site.<sup>55</sup> This plasticity is unlikely to be sufficiently described by the physicochemical descriptors used in SVM<sup>\*</sup>. Therefore SVM<sup>\*</sup> in the present form is not expected to be capable of classification of these types of distantly related enzymes.

# Classification of Homologous Enzymes of Different Functions

Homologous proteins not necessarily have analogous function.<sup>10</sup> It is thus useful to develop protein function prediction methods that can distinguish homologous proteins of different functions. The function of a protein is determined by a variety of factors. Changes such as local active-site mutation, variations in surface loops, and recruitment of additional domains may result in functional diversity among homologous proteins.<sup>56</sup> While these changes appear to be small at the local sequence level, some of the aspects of these changes may be reflected in the residue properties such as hydrophobicity, normalized van der Waals volume, polarity, polarizability, charge, surface tension, secondary structure and solvent accessibility used in SVM\*. It is thus of interest to examine whether SVM<sup>\*</sup> is useful for classification of homologous enzymes of different functions.

In this work, SVM<sup>\*</sup> is tested on four pairs of homologous enzymes of different families. These enzyme pairs are shown in Table VIII. Mixed results are obtained. While all eight enzymes are correctly classified into their respective family, only five of them are not classified into the family of their respective homolog, representing 62.5% of all the homologous enzymes studied here. It is however difficult to accurately assess the capability of SVM\* classification of homologous enzymes of different functions based on the small number of homologous enzymes studied here. Further analysis is needed to provide a more objective assessment.

## A Limitation of the SVM Classification Systems Developed in this Work

The SVM classification systems developed in this work are based on the two-class classification platform. One class contains proteins in a particular enzyme family, and another class consists of representative proteins outside of this family that includes both enzymes of the remaining 45 enzyme families and non-enzymes. For those enzymes that are simultaneously classified into more than one enzyme families, our classification systems may not be able to uniquely predict which family each of these enzymes belongs to.

Of the 8,291 enzymes correctly classified in this work, 6,658 or 80.3% of them are uniquely classified into a specific enzyme family using a scoring function.<sup>30</sup> Overall, the majority of the enzymes can be uniquely predicted by our classification systems, suggesting that our classification systems have certain level of unique prediction capability. None-the-less, the capability of unique prediction needs to be further enhanced by introducing methods that can further classify the non-uniquely classified enzymes into specific enzyme family. Multi-class classification approach<sup>27</sup> may be employed for such a purpose. In the multi-class classification approach, 46 additional SVM enzyme classification systems are trained, each from a positive set of all the enzymes in each enzyme family and a negative set of all the enzymes in the remaining 45 enzyme families. The non-uniquely classified enzymes are then tested against the 46 additional SVM classification systems. The unique family for each of these enzymes might be predicted either as that with the largest decision function value or by pair-wise classification with respect to multiple families.<sup>27</sup> Work is in progress to use a more comprehensive set of enzymes as the training sets and to develop the multi-class SVM enzyme classification systems by using more than 80,000 distinct enzyme sequence entries found from protein sequence databases.

#### CONCLUDING REMARKS

Our study suggests the potential of SVM in classification of enzymes into functional families. Moreover, it shows certain level of capability for classification of distantly related enzymes and homologous enzymes of different functions. When classifying an unknown protein, one does not know which family it might belong to. A screening process can be designed to scan all the families to determine which family it belongs to. Such a screening approach is also useful for classification of proteins that belong to multiple families. Further improvements on protein functional family coverage, sample collection, multiclass prediction models, and classification algorithm may enable the development of SVM into a useful tool for facilitating protein function prediction. Effort is being made to use a more comprehensive set of enzymes as the training sets to train SVM classification systems and to incorporate multi-class SVM classification systems to further enhance the unique prediction accuracy of our systems.

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